

# Accepted Manuscript

Comparison of Autologous Conditioned Plasma Injection, Extracorporeal Shockwave Therapy, and Conventional Treatment for Plantar Fasciitis: A Randomized Trial

Kelvin Tai Loon Chew, MBBCH, MSpMed Darren Leong, MBBS Cindy Y. Lin, MD Kay Kiat Lim, MBBS, MMed Benedict Tan, MBBS, MSpMed



PII: S1934-1482(13)01014-9

DOI: [10.1016/j.pmrj.2013.08.590](https://doi.org/10.1016/j.pmrj.2013.08.590)

Reference: PMRJ 1103

To appear in: *PM&R*

Received Date: 9 December 2012

Revised Date: 11 July 2013

Accepted Date: 5 August 2013

Please cite this article as: Loon Chew KT, Leong D, Lin CY, Lim KK, Tan B, Comparison of Autologous Conditioned Plasma Injection, Extracorporeal Shockwave Therapy, and Conventional Treatment for Plantar Fasciitis: A Randomized Trial, *PM&R* (2013), doi: 10.1016/j.pmrj.2013.08.590.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Title: Comparison of Autologous Conditioned Plasma Injection, Extracorporeal Shockwave Therapy, and Conventional Treatment for Plantar Fasciitis: A Randomized Trial

Authors: Kelvin Tai Loon Chew, MBBCH, MSpMed<sup>1</sup>, Darren Leong, MBBS<sup>1</sup>, Cindy Y. Lin, MD<sup>1,2</sup>, Kay Kiat Lim, MBBS, MMed<sup>3,4</sup>, and Benedict Tan, MBBS, MSpMed<sup>1</sup>

Institutional Affiliations:

<sup>1</sup>Changi Sports Medicine Centre, Changi General Hospital, Singapore

<sup>2</sup>Spine & Sports Rehabilitation Center, Rehabilitation Institute of Chicago, Chicago, Illinois (affiliation of C.L. when study performed)

<sup>3</sup>Department of Orthopedic Surgery, Changi General Hospital (affiliation of K.L. when study performed), Singapore

<sup>4</sup> Synergy Orthopedic Group, Singapore

Name & Address for Correspondence: Cindy Y. Lin, MD email: [cindy.lin@post.harvard.edu](mailto:cindy.lin@post.harvard.edu) Telephone number: 1-650-308-8684

The material was not presented at an AAPM&R Annual Assembly.

Funding Source: Study funded by the Singapore National Medical Research Committee grant. No funding was received by Dornier MedTech or Arthrex, Inc.

- 1 Title: Comparison of Autologous Conditioned Plasma Injection, Extracorporeal Shockwave Therapy, and
- 2 Conventional Treatment for Plantar Fasciitis: A Randomized Trial

ACCEPTED MANUSCRIPT

3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29

**Abstract**

**Objective:** To evaluate the efficacy of autologous conditioned plasma (ACP) compared to extracorporeal shockwave (ESWT) and conventional treatments for plantar fasciitis.

**Design:** Randomized trial

**Setting:** Sports medicine center in a tertiary care hospital.

**Patients:** 54 subjects (29-71 years) with unilateral chronic plantar fasciitis with greater than 4 months of symptoms.

**Methods:** Subjects randomized to three groups: 19 to ACP and conventional treatment (ACP group), 19 to ESWT and conventional treatment (ESWT group), and 16 to conventional treatment alone. Conventional treatment included stretching exercises and orthotics if indicated.

**Main Outcome Measurements:** Outcomes were pain Visual Analog Scale (VAS), American Orthopaedic Foot and Ankle Society (AOFAS) Ankle-Hindfoot Scale, and ultrasound plantar fascia thickness assessed at baseline pre-treatment and at 1 month, 3 months and 6 months post-treatment.

**Results:** VAS, AOFAS, and plantar fascia thickness improved in all groups. Significant VAS pain score improvements in the ACP group compared with conventional treatments at the 1<sup>st</sup> month ( $P=0.037$ ) and for the ESWT group compared to conventional treatments at the 1<sup>st</sup>, 3<sup>rd</sup> and 6<sup>th</sup> months ( $P=0.017$ ,  $P=0.022$ ,  $P=0.042$ ). AOFAS score improved in the ACP group at the 3<sup>rd</sup> and 6<sup>th</sup> months ( $P=0.004$  and  $P=0.013$ ) and for the ESWT group at the 1<sup>st</sup> and 3<sup>rd</sup> months ( $P=0.011$ ,  $P=0.003$ ) compared to conventional treatments. Significant improvements in plantar fascia thickness were seen in the ACP group at the 1<sup>st</sup> and 3<sup>rd</sup> month compared with conventional treatments ( $P=0.015$ ,  $P=0.014$ ) and at the 3<sup>rd</sup> and 6<sup>th</sup> months compared to the ESWT group ( $P=0.019$ ,  $P=0.027$ ). No adverse events reported.

**Conclusions:** Treatment of plantar fasciitis with ACP or ESWT plus conventional treatments resulted in improved pain and functional outcomes compared with conventional treatments alone. There was no significant difference between ACP and ESWT in terms of VAS and AOFAS improvements, although the ACP group demonstrated greater reductions in plantar fascia thickness.

30

31 **Introduction**

32 Plantar fasciitis is a common cause of heel pain associated with mild to severe activity limitations  
33 in athletes and the general population. In the United States, there were an estimated one million  
34 outpatient visits per year for plantar fasciitis between 1995-2000.<sup>1</sup> The condition is an enthesopathy at the  
35 plantar fascia attachment to the medial plantar tuberosity of the calcaneus. Risk factors for plantar  
36 fasciitis include obesity, excessive foot pronation, running, decreased ankle dorsiflexion range, and  
37 prolonged standing.<sup>2,3</sup> Current treatment approaches are based on addressing identified anatomic and  
38 biomechanical abnormalities and providing pain relief. Conventional non-invasive treatment options  
39 include plantar fascia, gastrocnemius, and soleus stretching, customized orthotics, night splints,  
40 extracorporeal shock wave therapy (ESWT), and pain medications.<sup>4,5</sup> Generally, plantar fasciitis is a self-  
41 limited condition. However, approximately 10 percent of patients with plantar fasciitis do not respond to  
42 conventional treatments.<sup>6</sup> Invasive strategies such as corticosteroid injections, and percutaneous,  
43 endoscopic, or open fasciotomy have been used in refractory cases with varying results.<sup>7,8,9,10</sup>

44 The efficacy of blood derived growth factors including autologous conditioned plasma (ACP),  
45 autologous conditioned serum (ACS), and platelet rich plasma (PRP), in healing ligaments, tendons,  
46 muscles, and cartilage injuries have been investigated in several studies.<sup>11,12,13,14,15</sup> PRP, ACP, or ACS  
47 are platelet rich preparations that are derived by drawing peripheral venous blood from the patient and  
48 centrifuging it to separate the red blood cells and platelets. The platelet concentrate is then aspirated from  
49 the platelet-rich layer of the centrifuged plasma and used for injection.<sup>11</sup> For cases of plantar fasciitis  
50 refractory to conventional treatments, these autologous preparations have been suggested as an  
51 alternative management strategy.<sup>7,9,16</sup> Few studies have examined the efficacy of ACP for the treatment  
52 of plantar fasciitis. A case series of PRP for plantar fasciitis, found that at one year, 7 of the 9 patients  
53 had complete pain resolution. All 9 patients had ultrasound evidence of improvement including reduced  
54 thickness of the medial plantar fascial band and increased signal intensity of the fascial bands.<sup>16</sup> Another  
55 study compared the efficacy of PRP to corticosteroid injection for plantar fasciitis and found no significant  
56 difference in outcomes between the groups at 3 weeks and at 6 months follow up.<sup>7</sup>

57           The aim of this randomized trial was to investigate the efficacy of ACP for treatment of plantar  
58 fasciitis compared to that of ESWT and conventional treatments including physiotherapy, stretching  
59 exercises, and orthotics if indicated. The primary outcome measures were pain, function as measured by  
60 the American Orthopaedic Foot and Ankle Society (AOFAS) ankle-hindfoot scale, and changes in plantar  
61 fascia thickness seen on ultrasound. This is the first published study to compare ESWT to ACP injection  
62 and conventional treatments for plantar fasciitis. Our hypothesis was that ACP would be more effective  
63 than ESWT and conventional treatments in relieving pain, improving function, and reducing plantar fascia  
64 thickness on ultrasound in chronic plantar fasciitis over 6 months of follow up.

65

## 66 **Methods**

67           The study design was a randomized trial evaluating the efficacy of 3 different treatment groups. 54  
68 patients with unilateral plantar fasciitis were recruited at a single sports medicine center in a public tertiary  
69 care hospital. Inclusion criteria were clinically diagnosed unilateral chronic plantar fasciitis defined as: at  
70 least 4 months of plantar heel pain, point of maximal tenderness on clinical exam over the medial tubercle  
71 of the calcaneus, and sonographic features of plantar fasciitis. Increased thickness of the plantar fascia  
72 and hypoechoic fascia are recognized as the sonographic findings of plantar fasciitis.<sup>17</sup> As in prior  
73 studies, a plantar fascia thickness of greater than 4mm at baseline was taken as abnormal.<sup>18,19</sup> All  
74 subjects had an X ray of the symptomatic foot prior to inclusion in the study. Subjects with arthritis,  
75 fractures, or tumors of the foot or ankle, rheumatoid arthritis, generalized polyarthritis, seronegative  
76 arthropathy, diabetes mellitus, neurological impairments, lower extremity nerve entrapment, vascular  
77 abnormalities, prior operative treatment of the foot, or current pregnancy were excluded. Subjects were  
78 also excluded if they had received corticosteroid or other injections for plantar fasciitis during the 4  
79 months prior to referral. Subjects were not excluded if they had tried stretching exercises, physiotherapy,  
80 or orthoses prior to study enrollment. The study was institutional review board approved and all subjects  
81 gave written informed consent. Subjects were randomized to the three groups at time of enrollment by  
82 drawing a folded sealed paper with a corresponding group number from a sealed box.

83           The three groups were: 1) ACP injection and conventional treatment (ACP group), 2) ESWT and

84 conventional treatment (ESWT group), and 3) conventional treatment alone. All subjects in all three  
85 treatment groups received conventional treatments which included 1-2 physical therapy sessions to learn  
86 an independent daily home exercise program including: 1) standing lunge stretch of the gastrocnemius  
87 and soleus performed with the knee bent and knee straight and the palms of the hands pressed against a  
88 wall, and 2) seated plantar fascia stretch by pulling the toes back with their fingers while seated and with  
89 the affected leg crossed.<sup>5,6,20</sup> Subjects received 1-2 physical therapy sessions only as the goal was to  
90 become independent in the stretching exercises. Subjects were instructed to perform the stretches three  
91 times a day, three times for each stretch, and to hold each stretch for 30 seconds at a time. Additionally,  
92 all subjects in all treatment groups identified by the physician as having biomechanical foot abnormalities  
93 contributing to their symptoms were also referred to podiatry for orthotics evaluation. All subjects in all 3  
94 treatment groups were advised that they could continue any previously prescribed analgesic pain  
95 medications on an as needed basis only. No new pain medications were prescribed on study entry.

96 Subjects randomized to the ACP group had 10mls of peripheral blood drawn and centrifuged at  
97 1500 rpm for 5 minutes using the Arthrex ACP™ Double Syringe System. No buffer or preservative was  
98 added per manufacturer's protocol. Using sterile technique, three mls of ACP were extracted and  
99 subsequently injected with a 23-gauge 1.5 inch needle at a single perifascial target at the site of plantar  
100 fascia thickening and tenderness at the medial calcaneal tubercle. The injection was performed under  
101 continuous ultrasound guidance by a single sports medicine physician for all cases. This physician did not  
102 perform any of the follow up outcome measure assessments. No tenotomy or fasciotomy was performed.  
103 No local anaesthetic was administered. Patients were instructed that they could resume their usual daily  
104 activities as tolerated after the procedure.

105 Subjects in the ESWT group received two sessions of ESWT one week apart using the Dornier  
106 EPOS Ultra ESWT Machine delivered under ultrasound guidance to the painful and thickened region of  
107 the plantar fascia at the medial calcaneal tubercle. All patients were positioned prone on the exam table  
108 with their feet hanging comfortably over the end of the table. The ESWT technique was as follows:  
109 ultrasound gel was placed on a water cushion and the ultrasound transducer. The water cushion and  
110 ultrasound transducer were placed over the heel and positioned so that the plantar fascia origin at the

111 calcaneum was visible. The cross hair, which indicates the position of the shock wave focus, was  
112 positioned in the thickened and painful region of the plantar fascia.<sup>21</sup> Ultrasound guidance was used to  
113 ensure accurate placement of the shock wave focus in the symptomatic region of the plantar fascia and to  
114 prevent the shock wave from contacting bone. Each treatment involved 2000 shockwaves with energy  
115 levels progressing gradually from 0.02mJ/mm<sup>3</sup> to 0.42mJ/mm<sup>3</sup>. Total treatment duration was 10 minutes.  
116 No local anaesthetic was administered. Patients were instructed that they could resume their usual daily  
117 activities as tolerated after the procedure.

118 Subjects were assessed at baseline (pre-treatment), 1 month, 3 months and 6 months post-  
119 treatment. For the ACP group, the 1st, 3rd, and 6th month time points were assessed post injection. For  
120 the ESWT group, the 1st, 3rd, and 6th month time points were assessed after completion of the 2<sup>nd</sup>  
121 ESWT treatment. The Visual Analogue Scale (VAS) of 0 to 10 points was utilized as a self-report of pain  
122 at each assessment time point. The American Orthopaedic Foot and Ankle Society (AOFAS) ankle-  
123 hindfoot scale was used to objectively evaluate functional outcomes.<sup>22</sup> The AOFAS is graded as  
124 excellent (100 to 91 points), good (90 to 81 points), fair (80 to 71 points), and poor (<70 points).<sup>23</sup> The  
125 AOFAS was selected as a measure because it evaluates pain, function, and alignment and it has been  
126 used in multiple prior clinical outcomes studies of plantar fasciitis treatments.<sup>4,24</sup> Ultrasonography of the  
127 symptomatic plantar fascia was performed to manually measure the point of maximal proximal thickness  
128 at the medial calcaneal tubercle insertion site.<sup>17</sup> Comparison of pre and post intervention changes in  
129 plantar fascia thickness on ultrasound has been validated as an objective measure to assess treatment  
130 efficacy for plantar fasciitis.<sup>25</sup> Two sports medicine physicians each with greater than 5 years of  
131 experience with musculoskeletal ultrasound, assessed the patients for the three outcome measures. They  
132 were also blinded to each subject's treatment group at initial and follow up assessments. For each  
133 subject, the same assessor performed both the initial and follow up exam assessments. To ensure  
134 blinding, these assessors were not the same physicians who performed the ACP injection or ESWT  
135 treatment.

136

137 **Statistical Analysis**



138 The analysis endpoints were changes in VAS pain score, AOFAS score, and plantar fascia  
139 thickness at 1 month, 3 month and 6 months follow up. SPSS software was used for statistical analysis.  
140 Non-parametric statistical tests were used to compare the difference among the three treatment arms in  
141 terms of each analysis endpoint: Kruskal-Wallis test for the global test of no difference between three  
142 groups and Mann-Whitney U test for pairwise comparison. To guard against inflated type I error rate due  
143 to multiple group comparison, pairwise comparison was interpreted only if the global test of no difference  
144 between three groups was rejected. Drop-out rate at the 6 month final visit was compared between  
145 groups. Differences in the distribution of binary variables were tested by the Mehta-Patel extension of the  
146 Fisher's exact test.<sup>26</sup>  $P$  value < 0.05 was taken as statistical significance.

147

## 148 **Results**

149 Out of the approximately 100 subjects asked to participate in the study, 54 subjects gave  
150 informed consent to be included in the study. Nineteen were randomized to the ACP group, 19 to the  
151 ESWT group, and 16 to the conventional treatment group. Nine subjects were unable to complete timely  
152 follow-up by 6 months, at which point, the number of subjects assessed was 15 in the ACP group, 17 in  
153 the ESWT group and 13 in the conventional treatment group. A Fisher's exact test showed that there  
154 were no significant differences in the drop-out rates in the three groups at 6 months ( $P=0.506$ ). Table 1  
155 shows the demographic characteristics of the 54 subjects. The three groups were comparable in age,  
156 gender, pain duration prior to study enrolment, and left and right side distribution of plantar fasciitis. The  
157 conventional treatment group had a better AOFAS score at initial evaluation prior to treatment ( $P=0.03$ ).  
158 At baseline, the VAS pain score was lower in the conventional treatment group and the ultrasound plantar  
159 fascia thickness was higher in the ACP group, although not reaching statistical significance ( $P=0.606$ ).  
160 The ESWT group has slightly higher median BMI and pain duration prior to study participation than the  
161 ACP and conventional treatment groups, however, neither demographic characteristic demonstrated a  
162 statistically significant difference between the three groups ( $P=0.606$ ,  $P=0.213$ , respectively).

163 No major adverse events including haematoma, deep vein thrombosis, nerve injury, or infection  
164 were reported in any of the subjects during the treatment and follow-up period for ESWT and ACP. All

165 patients tolerated the procedures well with no complications.

166

### 167 **VAS Pain Score**

168 Reductions in VAS pain scores were seen in all treatment groups from baseline to six months  
169 follow up. Table 2 shows the median and range values of the VAS pain scores at all assessment  
170 timepoints. Table 3 and Figure 1 show the VAS pain score median change from baseline to all follow up  
171 assessment time points. At one month follow up, all three groups demonstrated significant improvement  
172 in VAS pain score compared to baseline ( $P=0.036$ ). The median change in VAS pain score in the ACP  
173 and ESWT groups was greater than one point reduction at all assessment time points compared with the  
174 conventional treatment group. The ESWT group demonstrated significant improvements in VAS pain  
175 scores at all assessment time points compared to the conventional treatment group. The ACP group  
176 demonstrated significant improvements at only the 1<sup>st</sup> month evaluation compared to the conventional  
177 treatment group ( $P=0.037$ ). There was no statistically significant difference in VAS pain score  
178 improvements between the ACP and ESWT groups at the 1<sup>st</sup>, 3<sup>rd</sup>, and 6<sup>th</sup> months ( $P=0.575$ ,  $P=0.947$ ,  
179  $P=0.791$ , respectively).

180

### 181 **AOFAS Score**

182 Improvements in AOFAS scores were seen in all treatment groups from baseline to 6 months  
183 follow up. Table 2 shows the median and range values of the AOFAS scores at all assessment  
184 timepoints. Table 4 and Figure 2 show the AOFAS score median changes from baseline to all follow up  
185 assessment time points. All three groups demonstrated significant improvements in AOFAS scores from  
186 the baseline to 1st ( $P=0.045$ ) and 3rd ( $P=0.004$ ) month follow up. The baseline median AOFAS scores in  
187 the conventional treatment group were significantly higher than that of the ESWT and ACP groups  
188 ( $P=0.024$ ). The ACP group demonstrated a median improvement of 36 points in AOFAS score, 28 points  
189 in the ESWT group and 15.5 points in the conventional treatment group overall at 6 months. At all follow  
190 up assessment time points, the conventional treatment group had the lowest median change in AOFAS  
191 scores. The ACP group demonstrated significant improvements at the 3<sup>rd</sup> and 6<sup>th</sup> month evaluation

192 ( $P=0.004$ ,  $P=0.013$ ) compared to the conventional treatment group, whereas the ESWT group showed  
193 greater improvements at the 1<sup>st</sup> and 3<sup>rd</sup> month evaluation ( $P=0.011$ ,  $P=0.003$ ) compared with the  
194 conventional treatment group. There was no significant difference in median AOFAS score improvements  
195 between ACP and ESWT groups at all follow up assessment time points.

196

### 197 **Plantar Fascia Thickness**

198 All groups demonstrated improvements in plantar fascia thickness from baseline to the end of the  
199 evaluation period. Table 2 shows the median and range of the plantar fascia thickness at all assessment  
200 timepoints. Table 5 and Figure 3 show the median changes in ultrasound plantar fascia thickness at all  
201 follow up assessment time points. All three groups demonstrated significant decrease in plantar fascia  
202 thickness at 1<sup>st</sup> ( $P=0.042$ ) and 3<sup>rd</sup> ( $P=0.02$ ) month follow up compared to baseline. The median ultrasound  
203 plantar fascia thickness improvement in ACP group at 6<sup>th</sup> months follow up was 1.3mm compared with the  
204 ESWT and conventional treatment groups which both showed improvements of 0.6mm at 6 months. At  
205 the 1<sup>st</sup> and 3<sup>rd</sup> month, there were statistically significant differences in reduction in plantar fascia thickness  
206 in all groups ( $P=0.042$  and  $P=0.020$  respectively). Significant improvements were seen in the ACP group  
207 at the 1<sup>st</sup> and 3<sup>rd</sup> month compared with the conventional treatment group ( $P=0.015$ ,  $P=0.014$ ,  
208 respectively). There was no significant difference in the median plantar fascia thickness change at 6  
209 months follow up compared to baseline between all three groups. There was also no significant difference  
210 between the absolute plantar fascia thickness measurements at 6 months follow up between all three  
211 groups.

212 The ACP group demonstrated significant improvements in plantar fascia thickness at the 3<sup>rd</sup> and  
213 6<sup>th</sup> month compared with the ESWT group ( $P=0.019$ ,  $P=0.027$ ). No significant difference was seen  
214 between the ESWT and conventional treatment groups at all follow up assessment time points for median  
215 change in plantar fascia thickness ( $P=0.908$ ,  $P=0.575$ ,  $P=0.934$ , respectively).

216

### 217 **Discussion**

218 Previous studies of biological treatments for the plantar fascia have involved injections of

219 autologous whole blood and of PRP.<sup>7,8,9</sup> This is the first study evaluating the effectiveness of a single  
220 injection of ACP for treating chronic plantar fasciitis compared to ESWT and conventional treatments. The  
221 reason for the comparison to ESWT in this study was to compare ACP's effectiveness in pain relief as  
222 ESWT has been reported to provide good pain relief for chronic plantar fasciitis.<sup>27,87</sup> The mechanism of  
223 pain relief with ESWT is thought to be due to release of enzymes affecting nociceptors.<sup>24</sup> ESWT can be  
224 considered as a treatment option after conventional treatments have failed.

225         There has been an increasingly prevalent use of autologous blood derived growth factor rich  
226 preparations in musculoskeletal disorders.<sup>7,12,13,15</sup> Growth factors such as insulin-like growth factor-1,  
227 basic fibroblast growth factor, platelet-derived growth factor, epidermal growth factor, vascular endothelial  
228 growth factor, and transforming growth factor-B1 are a diverse group of polypeptides that regulate growth  
229 and tissue development.<sup>29,31,35</sup> It is believed that these cellular and humeral mediators provide conditions  
230 favourable for tissue healing.<sup>11,29,30</sup> Animal models have demonstrated up-regulation in temporal  
231 expression of growth factors and their receptors during the healing process in tendons,<sup>32,33</sup> while healing  
232 has also been shown to take place in response to local injection of growth factors.<sup>34,35</sup>

233         Our study found that ACP and ESWT were comparable in terms of pain relief. ACP and ESWT  
234 resulted in greater median improvements in functional AOFAS scores than the conventional treatment  
235 group. Our study demonstrated a greater than one point median reduction in VAS pain scores in the ACP  
236 and ESWT groups compared to conventional treatments, which was both statistically and clinically  
237 significant. Prior studies have indicated that the minimally important clinical improvement in VAS scores  
238 for foot pain is 9mm on a 100mm VAS scale, which corresponds to a 0.9 point improvement on the 10  
239 point VAS scale.<sup>36</sup> ACP treatment resulted in greater decreases in ultrasound plantar fascia thickness  
240 than ESWT but not when ACP was compared to the conventional treatment group at 6 months follow up.  
241 The ACP treatment group displayed better objective improvements with an overall median decrease of  
242 ultrasound plantar fascia thickness by 1.3mm at 6 months follow up. Changes in plantar fascia thickness  
243 greater than 0.6 mm are considered changes in thickness not due to measurement error.<sup>37</sup> Changes in  
244 plantar fascia thickness are a valid objective measurement of assessing the effectiveness of plantar  
245 fascia treatments.<sup>38</sup>

246 No adverse events such as fever, infection, haematoma, deep vein thrombosis were reported  
247 among study subjects. The risks associated with ACP injections are low as the preparations are derived  
248 from the patient's own blood, thus there is negligible risk of exogenous bloodborne infections.<sup>29,39</sup> The  
249 harvesting procedure is simple and fast, allowing for treatment to be administered easily in an outpatient  
250 clinic setting.

251

## 252 **Limitations**

253 The study's small sample size, which was limited by timeframe and funding, may have resulted in  
254 positive or negative effects being under detected. Future larger trials evaluating ACP for treatment of the  
255 plantar fasciitis are needed. At baseline, the median AOFAS scores were higher in the conventional  
256 treatment group. This may partly explain why this score did not improve as much for the conventional  
257 treatment group as they started out at a higher baseline.

258 Subjects were unblinded to the treatments they received, which may have biased their response.  
259 The subjects who received ACP or ESWT may have perceived their treatment as more high tech and a  
260 more effective treatment modality than conventional treatments since ACP involved an injection and  
261 ESWT was performed using a machine. Future studies including the use of placebo controlled injections  
262 compared to ACP are warranted to isolate treatment effect of the injectate alone for plantar fasciitis.  
263 Subjects did not keep a compliance log for their stretching exercises or orthotic use, thus it is unknown if  
264 compliance was comparable between the groups. If the conventional treatment group did not perform the  
265 stretching exercises, it is possible that this group represents the natural history of plantar fasciitis.  
266 Subjects also did not keep a pain medication use or an activity log.

267 The single assessor who performed ultrasound measurements of plantar fascia thickness manually  
268 measured the plantar fascia thickness once at each assessment time point. The interobserver reliability of  
269 the ultrasound measurement could have been increased if multiple measurements were averaged.<sup>38</sup>

270 Future studies comparing the relative efficacies of different preparations of ACP, PRP, and whole  
271 blood injections are needed to better understand the optimal concentration of platelets and appropriate  
272 relative concentration of platelets to leukocytes. The ACP from Arthex ACP™ overall has concentrated

273 platelets (1.99x) and diminished leukocytes (0.13x) compared with venous blood. ACP also has less  
274 catabolic cytokines than the Biomet GPS III Mini Platelet Concentrate System for PRP.<sup>40</sup> Platelets  
275 increase anabolic signaling whereas leukocytes increase catabolic or inflammatory signaling molecules  
276 that may degrade normal tissue matrix.<sup>40</sup> The ACP from the Arthrex ACP™ system has been reported to  
277 have a mean platelet concentration of 361,000/uL, whereas in venous blood, the mean platelet  
278 concentration is 183,000/uL.<sup>40</sup>

279 As our study investigated the use of a single injection only, future trials investigating the optimal  
280 number of ACP injections are needed. Longer term follow up outcome studies to one year and beyond  
281 that examine outcomes are also needed to determine the duration of treatment effect. There is substantial  
282 variability in the injection techniques for platelet rich preparations in the published studies. For plantar  
283 fasciitis, no single injection technique whether peri-fascial, intrafascial, peppering, layering, or  
284 percutaneous tenotomy and with or without ultrasound guidance has been identified as the most  
285 effective.<sup>7,16,41</sup> In our study, a peri-fascial approach under ultrasound guidance was selected so we could  
286 best isolate the treatment effect of ACP alone. We did not perform tenotomy or peppering, as was  
287 performed in the Ragab and Othman and Barrett and Erredge studies,<sup>16,42</sup> as the microtrauma to the  
288 fascia from the needling technique itself has been postulated to mediate healing.<sup>42</sup> We also did not  
289 perform a posterior tibial and sural nerve anesthetic block prior to the injection, as was performed in the  
290 Barrett and Erredge study to increase patient tolerance of an intrafascial injection.<sup>16</sup> Further studies  
291 comparing different injection technique for platelet rich injections to the plantar fascia are needed to  
292 determine whether there is an optimal technique.

293

## 294 **Conclusion**

295 Treatment of plantar fasciitis with either ACP or ESWT resulted in modestly improved pain and  
296 functional score improvements compared with conventional treatments alone over a 6 months follow up  
297 period. Though there were no significant differences between ACP and ESWT in terms of VAS pain  
298 scores and AOFAS functional score improvements, ACP demonstrated greater objective improvements in  
299 terms of plantar fascia thickness reduction. ACP and ESWT are treatments that may be considered in

300 patients with plantar fasciitis who have not responded to conventional treatments.

ACCEPTED MANUSCRIPT

301 **References**

302

303 1. Riddle DL, Schappert SM. Volume of ambulatory care visits and patterns of care for patients  
304 diagnosed with plantar fasciitis: a national study of medical doctors. *Foot Ankle Int.* 2004 May;25(5):303-  
305 310.

306 2. Irving DB, Cook JL, Young MA, Menz HB. Obesity and pronated foot type may increase the risk of  
307 chronic plantar heel pain: a matched case-control study. *BMC Musculoskelet Disord.* 2007 May 17;8:41.

308 3. Riddle DL, Pulisic M, Pidcoe P, Johnson RE. Risk factors for plantar fasciitis: a matched case-control  
309 study. *J Bone Joint Surg Am.* 2003 May;85-A(5):872-877.

310 4. Chuckpaiwong B, Berkson EM, Theodore GH. Extracorporeal shock wave for chronic proximal plantar  
311 fasciitis: 225 patients with results and outcome predictors. *J Foot Ankle Surg.* 2009 Mar-Apr;48(2):148-  
312 155.

313 5. Crawford F, Thomson C. Interventions for treating plantar heel pain. *Cochrane Database Syst Rev.*  
314 2003;(3):CD000416.

315 6. Davis PF, Severud E, Baxter DE. Painful heel syndrome: results of nonoperative treatment. *Foot Ankle*  
316 *Int.* 1994 Oct;15(10):531-535.

317 7. Aksahin E, Dogruyol D, Yuksel HY, Hapa O, Dogan O, Celebi L, Bicimoglu A. The comparison of the  
318 effect of corticosteroids and platelet-rich plasma (PRP) for the treatment of plantar fasciitis. *Arch Orthop*  
319 *Trauma Surg.* Epub 2012 Mar 8. PMID: 22399039.

320 8. Kalaci A, Cakici H, Hapa O, Yanat AN, Dogramaci Y, Sevinç TT. Treatment of plantar fasciitis using  
321 four different local injection modalities: a randomized prospective clinical trial. *J Am Podiatr Med Assoc.*  
322 2009;99(2):108-113.

323 9. Lee TG, Ahmad TS. Intralesional autologous blood injection compared to corticosteroid injection for  
324 treatment of chronic plantar fasciitis. A prospective, randomized, controlled trial. *Foot Ankle Int.* 2007



325 Sep;28(9):984-990.

326 10. Urovitz EP, Birk-Urovitz A, Birk-Urovitz E. Endoscopic plantar fasciotomy in the treatment of chronic  
327 heel pain. *Can J Surg*. 2008 Aug;51(4):281-283.

328 11. Foster TE, Puskas BL, Mandelbaum BR, Gerhardt MB, Rodeo SA. Platelet-rich plasma: from basic  
329 science to clinical applications. *Am J Sports Med* 2009;37:2259-2272.

330 12. Hammond JW, Hinton RY, Curl LA, Muriel JM, Lovering RM. Use of autologous platelet-rich plasma to  
331 treat muscle strain injuries. *Am J Sports Med*. 2009;37:1135-1142.

332 13. Mishra A, Pavelko T. Treatment of chronic elbow tendinosis with buffered platelet-rich plasma. *Am J*  
333 *Sports Med*. 2006;34:1774-1778.

334 14. Murray MM, Spindler KP, Ballard P, Welch TP, Zurakowski D, Nanney LB. Enhanced histologic repair  
335 in a central wound in the ACL with a collagen-platelet-rich plasma scaffold. *J Orthop Res*. 2007;25:1007-  
336 1017.

337 15. Sanchez M, Anitua E, Azofra J, et al. Comparison of surgically repaired Achilles tendon tears using  
338 platelet-rich fibrin matrices. *Am J Sports Med*. 2007;35:245-251.

339 16. Barrett S, Erredge S. Growth factors for chronic plantar fasciitis. *Podiatry Today*. 2004;17:37-42.

340 17. Cardinal E, Chhem RK, Beauregard CG, Aubin B, Pelletier M. Plantar fasciitis: Sonographic  
341 evaluation. *Radiology*. 1996;201:257-259.

342 18. McMillan AM, Landorf KB, Barrett JT, Menz HB, Bird AR. Diagnostic imaging for chronic plantar heel  
343 pain: a systematic review and meta-analysis. *J Foot Ankle Res*. 2009 Nov 13;2:32.

344

345 19. Sabir N, Demirlenk S, Yagci B, Karabulut N, Cubukcu S. Clinical utility of sonography in diagnosing  
346 plantar fasciitis. *J Ultrasound Med*. 2005 Aug;24(8):1041-8.

- 347 20. DiGiovanni BF, Nawoczenski DA, Lintal ME, Moore EA, Murray JC, Wilding GE, Baumhauer JF.  
348 Tissue-specific plantar fascia-stretching exercise enhances outcomes in patients with chronic heel pain. A  
349 prospective, randomized study. *J Bone Joint Surg Am.* 2003 Jul;85-A(7):1270-7.
- 350
- 351 21. Buchbinder R, Ptasznik R, Gordon J, Buchanan J, Prabakaran V, Forbes A. Ultrasound-guided  
352 extracorporeal shock wave therapy for plantar fasciitis: a randomized controlled trial. *JAMA.* 2002 Sep  
353 18;288(11):1364-72.
- 354
- 355 22. Kitaoka HB, Alexander IJ, Adelaar RS, Nunley JA, Myerson MS, Sanders M. Clinical rating systems  
356 for the ankle-hindfoot, midfoot, hallux and lesser toes. *Foot Ankle Int.* 1994;15:349-353.
- 357 23. Becker HP, Ebner S, Ebner D, et al. 12-year outcome after modified Watson-Jones tenodesis for  
358 ankle instability. *Clin Orthop.* 1999;358:194-204.
- 359 24. Kudo P, Dainty K, Clarfield M, Coughlin L, Lavoie P, Lebrun C. Randomized, placebo-controlled,  
360 double-blind clinical trial evaluating the treatment of plantar fasciitis with an extracorporeal shockwave  
361 therapy (ESWT) device: a North American confirmatory study. *J Orthop Res.* 2006 Feb;24(2):115-123.
- 362 25. Mahowald S, Legge BS, Grady JF. The correlation between plantar fascia thickness and symptoms  
363 of plantar fasciitis. *J Am Podiatr Med Assoc.* 2011 Sep;101(5):385-389.
- 364 26. Mehta CR, Patel NR. A network algorithm for performing fisher's exact test in  $r \times c$  contingency tables.  
365 *J Amer Statistical Assoc.* 1983;78(382):427-434.
- 366 27. Lowell SW, Thomas SR, Borrelli AH. Extracorporeal shock wave therapy for treatment of chronic  
367 plantar fasciitis: indications, protocol, intermediate results, and a comparison of results to fasciotomy. *J*  
368 *Foot Ankle Surg.* 2002;41(3):166-172
- 369 28. Malay DS, Pressman MM, Assili A, Kline JT, York S, Buren B, Heyman ER, Borowsky P, LeMay C.

- 370 Extracorporeal shockwave therapy versus placebo for the treatment of chronic proximal plantar fasciitis:  
371 results of a randomized, placebo-controlled, double-blinded, multicenter intervention trial. *J Foot Ankle*  
372 *Surg.* 2006 Jul-Aug;45(4):196-210.
- 373 29. Creaney L, Hamilton B. Growth factor delivery methods in the management of sports injuries: the  
374 state of play. *Br J Sports Med.* 2008 May;42(5):314-320.
- 375
- 376 30. Marx RE. Platelet-rich plasma: evidence to support its use. *J Oral Maxillofac Surg.* 2004;62:489-496.
- 377
- 378 31. Woodall J Jr, Tucci M, Mishra A, Asfour A, Benghuzzi H. Cellular Effects of Platelet Rich Plasma:  
379 Interleukin-1 release from PRP treated macrophage cells. *Biomed Sci Instrum.* 2008;44:489-494.
- 380
- 381 32. Boyer MI, Watson J, Lou J, Manske PR, Gelberman RH, Cai SR. Quantitative variation in vascular  
382 endothelial growth factor mRNA expression during early flexor tendon healing: an investigation in a  
383 canine model. *J Orthop Res.* 2001;19:869-872
- 384 33. Dahlgren LA, Mohammed HO, Nixon AJ. Temporal expression of GF and matrix molecules in healing  
385 tendon lesions. *J Orthop Res.* 2005;23:84-92.
- 386 34. Chan BP, Fu S, Qin L, Lee K, Rolf CG, Chan K. Effects of bFGF on early stages of tendon healing: a  
387 rat patellar tendon model. *Acta Orthop Scand.* 2000;71:513-518.
- 388 35. Molloy T, Wang Y, Murrell GAC. The roles of growth factors in tendon and ligament healing. *Sports*  
389 *Med.* 2003;33:381-394.
- 390 36. Landorf KB, Radford JA, Hudson S. Minimal Important Difference (MID) of two commonly used  
391 outcome measures for foot problems. *J Foot Ankle Res.* 2010 May 14;3:7.
- 392 37. Skovdal Rathleff M, Moelgaard C, Lykkegaard Olesen J. Intra- and interobserver reliability of

- 393 quantitative ultrasound measurement of the plantar fascia. *J Clin Ultrasound*. 2011 Mar-Apr;39(3):128-34.  
394
- 395 38. Mahowald S, Legge BS, Grady JF. The correlation between plantar fascia thickness and symptoms of  
396 plantar fasciitis. *J Am Podiatr Med Assoc*. 2011 Sep-Oct;101(5):385-9.
- 397 39. Woodall JR, Tucci M, Mishra A, Asfour A, Benghuzzi H. Cellular effects of platelet rich plasma: a  
398 study on HL-60 macrophage-like cells. *Biomed Sci Instrum*. 2007;43:266–71.
- 399 40. Sundman EA, Cole BJ, Fortier LA. Growth factor and catabolic cytokine concentrations are influenced  
400 by the cellular composition of platelet-rich plasma. *Am J Sports Med*. 2011 August;39(10):2135-2140.
- 401 41. Ragab EM, Othman AM. Platelets rich plasma for treatment of chronic plantar fasciitis. *Arch Orthop*  
402 *Trauma Surg*. 2012 Aug;132(8):1065-70.
- 403 42. Finnoff JT, Fowler SP, Lai JK, Santrach PJ, Willis EA, Sayeed YA, Smith J. Treatment of chronic  
404 tendinopathy with ultrasound-guided needle tenotomy and platelet-rich plasma injection. *PM R*. 2011  
405 Oct;3(10):900-11.

406

407 Table 1. Patient Demographic Characteristics at Enrollment

Characteristics	ACP (n=19)	ESWT (n=19)	Conventional Treatment (n=16)	P-value
Age (years)	46 (38, 51)	45 (37, 53)	47.5 (41, 53)	0.833
Gender (Male:Female)	10 : 9	11 : 8	8 : 8	0.891
Side (Left:Right)	8 : 11	8 : 11	10 : 6	0.391
Pain duration (months)	12 (7, 24)	18 (7, 24)	10.5 (6, 16)	0.213
BMI (kg/m <sup>2</sup> )	23.4 (21.9, 27.7)	25.3 (23.1, 27.2)	24.7 (22.6, 27.4)	0.606
AOFAS	65 (49, 72)	62 (52, 69)	72 (71, 75)	0.030
VAS Pain Score	7 (5, 8)	7 (6, 8)	6 (5, 8)	0.606
Plantar Fascia thickness (mm)	6.4 (5, 7)	5.4 (5, 6)	5.55 (5, 7)	0.126

408 For a binary endpoint, the count and the proportion is reported. For a continuous endpoint, the median and interquartile range is  
 409 reported.

410

411  
 412 Table 2. Median and range of VAS pain scores, AOFAS scores, and plantar fascia thickness (mm) at all  
 413 assessment time points

<b>VAS Pain Scores</b>			
Time Point	ACP	ESWT	Conventional Treatment
Pre-Intervention	7 (4, 10)	7 (5, 8.5)	6 (3, 8)
1 month	4 (1, 10)	5 (0, 7)	5 (3, 8)
3 months	4 (0, 8)	4 (0, 7)	4 (1, 9)
6 months	2 (0, 6)	3 (0, 8)	3 (0, 7)
<b>AOFAS Scores</b>			
Time Point	ACP	ESWT	Conventional Treatment
Pre-Intervention	65 (38, 77)	62 (44, 79)	72 (51, 77)
1 month	75 (35, 84)	73 (52, 92)	75 (55, 82)
3 months	86 (67, 100)	85 (72, 100)	80 (53, 90)
6 months	90 (77, 100)	90 (72, 100)	87 (73, 100)
<b>Plantar Fascia Thickness (mm)</b>			
Time Point	ACP	ESWT	Conventional Treatment
Pre-Intervention	6.4 (4.6, 7.9)	5.4 (4.4, 8.1)	5.6 (4.8, 8.0)
1 month	5.4 (4.0, 6.9)	5.4 (3.8, 7.9)	5.6 (5.1, 7.6)
3 months	5.3 (3.4, 6.9)	5.1 (3.2, 6.8)	5.4 (4.4, 6.6)
6 months	4.8 (3.5, 6.0)	4.9 (3.6, 7.0)	4.8 (3.3, 6.7)

414

415  
416 Table 3. Median change and interquartile range in VAS pain scores at all assessment time points

Change from baseline *	ACP	ESWT	Conventional Treatment	P-value			
				Global	ACP vs Conventional Treatment	ESWT vs Conventional Treatment	ACP vs ESWT
1 month	-2.0 (-3.0, -1.0)	-2.0 (-3.8, -1.0)	-0.75 (-2.0, 1.0)	0.036	0.037	0.017	0.575
3 months	-3.0 (-5.0, -1.5)	-3.25 (-4.5, -2.0)	-1.0 (-3.0, 0.5)	0.053	0.053	0.022	0.947
6 months	-5.0 (-6.5, -3.0)	-5.5 (-6.5, -4.0)	-3.0 (-4.0, -2.0)	0.090	0.080	0.042	0.791

417 \*Scores at 1, 3 and 6 months minus score at baseline

418

419 Table 4. Median change and interquartile range in AOFAS scores at all assessment time points

Change from baseline *	ACP	ESWT	Conventional Treatment	P-value			
				Global	ACP vs Conventional Treatment	ESWT vs Conventional Treatment	ACP vs ESWT
1 month	10.0 (0.0, 26.0)	14.5 (4.0, 23.0)	0.5 (0.0, 7.5)	0.045	0.062	0.011	0.749
3 months	15.0 (12.0, 36.0)	21.0 (13.0, 30.0)	5.0 (3.0, 13.0)	0.004	0.004	0.003	0.986
6 months	36.0 (18.0, 40.0)	28.0 (10.0, 41.0)	15.5 (8.5, 23.0)	0.061	0.013	0.187	0.419

420 \*Scores at 1, 3 and 6 months minus score at baseline

421

422  
 423 Table 5. Median change and interquartile range of plantar fascia thickness (mm) at all assessment time  
 424 points

Change from baseline *	ACP	ESWT	Conventional Treatment	P-value			
				Global	ACP vs Conventional Treatment	ESWT vs Conventional Treatment	ACP vs ESWT
1 month	-0.9 (-1.2, -0.1)	0.2 (-1.0, 0.7)	0.0 (-0.5, 0.2)	0.042	0.015	0.908	0.056
3 months	-1.2 (-1.6, -0.9)	-0.3 (-1.1, 0.0)	-0.7 (-1.0, -0.1)	0.020	0.014	0.575	0.019
6 months	-1.3 (-1.8, -1.1)	-0.6 (-1.2, -0.1)	-0.6 (-1.3, -1.0)	0.068	0.080	0.934	0.027

425 \* Scores at 1, 3 and 6 months minus score at baseline

426  
 427 **FIGURE LEGENDS**

428 Figure 1. Median and range of VAS Pain scores in ACP, ESWT and conventional treatment groups at all  
 429 assessment time points

430  
 431 Figure 2. Median and range of AOFAS score in ACP, ESWT and conventional treatment groups at all  
 432 assessment time points

433  
 434 Figure 3. Median and range of plantar fascia thickness (mm) in ACP, ESWT and conventional treatment  
 435 groups at all assessment time points







